## FAX TRANSMITTAL COVER SHEET

TO:

U. S. Patent and Trademark Office

Technology Center 1600

Attn: Examiner, Patricia A. Patten

Art Unit: 1654

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DATE:

August 25, 2003

FROM:

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CLIENT NO.: SBI-073 (2026-00800)

TOTAL NUMBER OF PAGES (INCLUDING THIS ONE)

15

COMMENTS:

Re:

U.S. Patent Application No. 09/746,921

Filing Date: December 22, 2000 Applicant: Kevin J. Thome

## The following documents are attached for filing:

- Request for Continued Examination (RCE) Transmittal (1 p.)
- Amendment and Response to Office Action dated February 25, 2003 (11 p.)
- Fee Transmittal for FY 2003 (1 p.)
- Petition For Extension of Time (3-month extension) (1 p.)

## CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

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M. A. CRABTREE

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Date ( Singust 25, 2003

PTO/\$ B/030 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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## Application Number 09/746,921 Request For Filing Date December 22, 2000 **Continued Examination (RCE)** First Named Inventor Kevin J. Thome **Transmittal** Art Unit 1654 Address to Mail Stop RCE Examiner Name P. A. Patten Commissioner for Patents P.O. Box 1450 Attorney Docket Number SBI-073 Alexandria, VA 22313-1450

This a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plan application filed prior to June 3, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

| 1.   | Submission required under 37 C.F.R. § 1.114.  Note. If the RCE is proper, any previously filed unentered amendments amendments enclosed with the RCE will be entered in the order in |   |             |            |                   |               |            |            |             |                           |          |  |
|--|--|---|-------------|------------|-------------------|---------------|------------|------------|-------------|---------------------------|----------|--|
|  |  | which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered mendment(s) entered, applicant must request non-entry of such amendment(s).         |             |            |                   |               |            |            |             |                           |          |  |
|  | a.   | Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.                              |             |            |                   |               |            |            |             |                           |          |  |
|  |  | i. Consider the arguments in the Appeal Brief or Reply Brief previously filed on ii. Dother   |             |            |                   |               |            |            |             |                           |          |  |
|  | ъ.   | $\boxtimes$   | Enc         | losed      |                   |               |            |            |             |                           |          |  |
| i  |  | 1.  | $\boxtimes$ | Amenda     | nent/Reply        |               | iir.       |            | Inform      | ation Disclosure Statemer | nt (IDS) |  |
|  |  | ii.   |             | Affidavı   | (s)/Declaration(  | <b>s</b> )    | iv.        |            | Other       |                           |          |  |
| 2.   | N  | Miscellaneous   |             |            |                   |               |            |            |             |                           |          |  |
|  | 1  | Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of months. (Period of suspension shall not exceed 3 months. Fee under 37 C.F.R. § 1.17(I) required) |             |            |                   |               |            |            |             |                           |          |  |
| İ  | ъ.   |   |             |            |                   |               |            |            |             |                           | , .      |  |
| l  |  | ees   | 1           |            |                   |               |            |            |             |                           |          |  |
| 3  |  | <del></del>   | ╛           | The RCE    | fee under 37 C.   | .F.R. § 1.17( | e) is requ | ired by 37 | C.F.R. §    | 114 when the RCE is file  | ed.      |  |
|  | <ul> <li>a.</li></ul>  |   |             |            |                   |               |            |            |             |                           |          |  |
| ļ  |  | i. RCE fee required under 37 C.F.R. § 1.17(c)   |             |            |                   |               |            |            |             |                           |          |  |
| 1  |  | ii. 🔀 Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)   |             |            |                   |               |            |            |             |                           |          |  |
| l  |  | iii.  |             |            |                   |               |            |            |             |                           |          |  |
| l  | b.   |   |             |            | mount of \$       | DDO 2020      |            | enclos     | ed          |                           |          |  |
|  | C.   |   | Pay         | -          | edit card (Form   |               |            | ome rublio | Cadit co    | d information should not  |          |  |
|  |  |   |             | Be in      | luded on this for | m. Provide c  | redit card | informati  | o and sut   | norization on PTO-2038    |          |  |
|  | SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED  |   |             |            |                   |               |            |            |             |                           |          |  |
| Nar  | ne (F  | rint/   | Туре)       | Саго       | l G Mintz         |               |            | I          | Registratio | n No. (Attorney/Agent)    | 38,561   |  |
| Sign   | natur  | <b>t</b>  |             | $C_{\ell}$ | ul G              | This          | tz/        | 1          | Date        | August 25, 2003           |          |  |
| CERTIFICATE OF MAILING OR TRANSMISSION   |  |   |             |            |                   |               |            |            |             |                           |          |  |
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| Name (Print/Type) M. A. CRABTREE   |  |   |             |            |                   |               |            |            |             |                           |          |  |
| Sign   | atur   | e   |             | 1          | 77.6              | Cre           | M          | Les I      | Pate        | August 25, 2003           |          |  |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the UNPTO to process an application Confridentiality is governed by 35 U SC 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time with vary depending upon the individual case. Any comments on the mount of time you require to complete this form under suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. patient and Trademark Officer, U.S. Peptitiment of Commerce, P.O. Box 1450. Alexandria, VA 22313-1450, DO NOT SEND PEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Mail Stop RCb., Commissioner for Patents, P.O.

PTO/SB/017 (05-63)

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|--|---|---|---------------------------|--|--|--|--|
|  |   | Complete if Known   |                           |  |  |  |  |
| FEE TRANSMITTAL  | Application Number  | 09,746,921  |                           |  |  |  |  |
| For FY 2003  | Filing Date   | December 22, 2000   |                           |  |  |  |  |
| Effective 01/01/2003. Patent fees are subject to annual revision   | First Named Inventor  | Kevin J. Thorne   |                           |  |  |  |  |
|  | Examiner Name   | Patricia A. Patten  |                           |  |  |  |  |
| Applicant claims small entity status. See 37 CFR 1.27  | Art Unit  | 1654  |                           |  |  |  |  |
| TOTAL AMOUNT OF PAYMENT \$ 1,680.00  | Attorney Docket No.   | SBI-073   |                           |  |  |  |  |
| METHOD OF PAYMENT (Check all that apply)   | FEE CALCULATION (continued)                                       |   |                           |  |  |  |  |
| ☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None   | 3. ADDITIONAL FEES  |   |                           |  |  |  |  |
| Order  Deposit Account:  | Large Entity Small Entity Free Free Free Free Code (\$) Code (\$) | Fee Description   | Fee Pald                  |  |  |  |  |
| Deposit Account Number: 03-2769  | 1051 130 2051 65  | Surcharge - late filing fee or oath   | 2                         |  |  |  |  |
| Deposit Account Name. Conley Rose, P.C.  | 1052 50 2052 25   | Surcharge - late provisional filing<br>fee or cover sheet                     | s                         |  |  |  |  |
| The Director is authorized to: (check all that apply)  Charge fee(s) indicated below   |   | Non-English specification For filing a request for expurie                    | S                         |  |  |  |  |
| ☐ Churge any additional fee(s) during the pendency of this application     ☐ Churge fee(s) indicated below, except for the filling fee | !8042 920* 1804 920*  | reexamination Requesting publication of SIR prior                             | <b>\$</b>                 |  |  |  |  |
| to the above-identified deposit account  | Λ (1)   | to Examiner action Requesting publication of SIR after                        | Š                         |  |  |  |  |
| ○ Credit any overpayments     FEE CALCULATION  | 1251 110 2251 55  | Examiner action<br>Extension for reply within first mon                       | sion s                    |  |  |  |  |
| 1. BASIC FILING FEE  | 1252 410 2252 205   | Exension for raply within second in   | nonth \$                  |  |  |  |  |
| Lurge Entity Small Entity  | 1253 930 2253 465   |   |                           |  |  |  |  |
| Ecc. Ecc. Fee Fee Fee Description  | 1254 1,450 2254 725<br>1255 1,930 2255 985                        | Extension for reply within fourth me<br>Extension for reply within fifth most |                           |  |  |  |  |
| Code (\$) Code (\$) Fee Paid   | 1401 320 2401 60  | Notice of Appeal  | \$                        |  |  |  |  |
| 1001 750 2001 375 Utility filing Fee \$ 1002 330 2002 165 Design filing fee \$   | 1402 120 2402 60<br>1403 280 2403 140                             | Filing a brief in support of an appeal<br>Request for oral hearing            | si <b>\$</b><br><b>\$</b> |  |  |  |  |
| 1003 520 2003 260 Plant filing fee \$  | 1451 1,510 1452 1,510   | Petition to institute a public use  | •                         |  |  |  |  |
| 1004-750 2004-375 Ressue filing fee \$ 1005-160 2005-80 Provisional filing fee: \$   |   | proceeding  | \$                        |  |  |  |  |
| 1005 to 2005 so Provisional lining life \$   | 1452 110 2452 55<br>1453 1,300 2453 650                           | Petition to revive - unavoidable Petition to revive - unintentional           | 5                         |  |  |  |  |
| SUBTOTAL (1) \$  |   | L'ulity issue foe (or reissue)  | Š                         |  |  |  |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE  |   | Design issue fee  | Ş                         |  |  |  |  |
| Fee from   |   | Plant issue for<br>Petitions to the Commissioner                              | \$                        |  |  |  |  |
| Extra Claims helow Fee Paid  |   | Processing fee under 37 CFR 1 17(g  | g) <b>Š</b>               |  |  |  |  |
| Total Claims * 20** = * x 18.00 = \$   | 123 50 123 50   | Petitions related to provisional appli  |                           |  |  |  |  |
| Independent • 3•• = • x 84.00 = \$ Cinros  | 1806 (80 1806 180<br>8021 40 8021 40                              | Submission of Information Disclosur<br>Recording such patent assignment p     |                           |  |  |  |  |
| Multiple Dependent 280 00 = \$ 00 00   |   | property (times number of propertie   | s) \$                     |  |  |  |  |
| Large Entity Small Entity  | 1509 750 2809 375   | Filing a submission after final reject  | р<br>\$                   |  |  |  |  |
| Fee Fee Fee Fee Fee Description  | 1510 750 2810 375   | (37 CFR § 1.129(a)) For each additional invention to be                       | 3                         |  |  |  |  |
| Code (\$) Code (\$)  |   | cxxxxxxxed (37 CFR § 1 129.b))  | \$                        |  |  |  |  |
| 1202 18 2202 9 Casims an excess of 20<br>1201 84 2201 42 Independent Claims in excess of 3   | 1901 750 2801 575<br>1502 900 1802 900                            | Request for Continued Examination<br>Request for expedited examination        | (RCE) \$750 00            |  |  |  |  |
| 1203 280 2203 146 Multiple dependent claims if not paid  | 1302 900 1802 91XI  | of a design application   | \$                        |  |  |  |  |
| 1304 84 2204 42 ** Reissue independent emins   | Other fee (specify)   | •   | 2                         |  |  |  |  |
| over original patient  1205 18 2305 9 ** Reissue claims in except of 20 and over original patent                                       | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$1,680.00         |   |                           |  |  |  |  |
| SUBTOTAL (2) \$  |   |   |                           |  |  |  |  |
| ** or number previously paid, if greater: For Reissues, see above SUBMITTED BY   | Carabasettas II   |   |                           |  |  |  |  |
| SCHAIL ICO DI  | Registration No.  | Complete (if applicable)  |                           |  |  |  |  |
| Name (Prinallype) Carol G. Mintz   | (Attorney/Agent) 38,5   | 61   Felephone (713) 2  | 238-8000                  |  |  |  |  |
| Signature Carol G. V.  | nintz/  | Date August   | 25, 2003                  |  |  |  |  |

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